

The FY 2017 BJA Adult Drug Court Grant: Funding Opportunities for Healing to Wellness Courts

January 27, 2017



Tribal Law and Policy Institute
8235 Santa Monica Blvd. Ste. 211
West Hollywood, CA 90046

www.home.tlpi.org

www.WellnessCourts.org

Presenters

- ▶ **Lauren van Schilfgaarde**, *Tribal Law Specialist*, Tribal Law and Policy Institute
 - ▶ wellness@tlpi.org; (323) 650-5467
- ▶ **Timothy Jeffries**, *Policy Advisor*, Bureau of Justice Assistance, Office of Justice Programs
 - ▶ Timothy.Jeffries@usdoj.gov; (202) 616-7385
- ▶ **Mark Kline**, *Policy Advisor*, Bureau of Justice Assistance, Office of Justice Programs
 - ▶ Mark.Kline2@usdoj.gov; (202) 514-9537

Outline of Webinar Presentation

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1. CTAS RFP – Healing to Wellness Court Options
2. BJA Adult Drug Court Grant Overview
3. Grant Components
 1. Noteworthy Considerations
 2. Abstract
 3. Problem Statement
 4. Program Design
 5. Capabilities and Competencies
 6. Data and Performance Measures
4. Grant Writing Tips

CTAS – FY 2017

- ▶ Due February 28, 2017 (same date!)
- ▶ Purpose Area 3: Tribal Justice System and Substance Abuse
- ▶ Purpose Area 8: Juvenile Healing to Wellness Courts

BJA Adult Drug Court Discretionary Grant

Finding the BJA Drug Court RFP

▶ bjagov

▶ WellnessCourts.org

The screenshot shows the BJA website's 'Drug Court Discretionary Grant Program' page. The header includes the BJA logo and navigation links like 'Trending: FY 2016 Funding Opportunities | State & Territory Fact Sheets | JAG | NTTAC'. The main content area features a section titled 'DRUG COURT DISCRETIONARY GRANT PROGRAM' with a video message from the First Lady Michelle Obama. Below this, there are several news items: 'Adult Drug Court Discretionary Grant Program Solicitation Available', 'Ten New Mentor Adult Drug Courts Announced', 'Deputy AG Addresses NADCP Conference', and 'A Statewide Evaluation of New York's Adult Drug Courts'. The right sidebar contains 'MY BJA' (Welcome, Sign In or Register), 'TOOLS', 'CONNECT' (social media icons), and 'TWITTER' (tweets from @DOJUSA and @Cambridge_Uni's Dr. Aron).

The screenshot shows the WellnessCourts.org website. The header includes 'Email | Print | RSS' and a search bar. The main banner features an illustration of people in traditional attire under a starry sky, with the text 'Tribal Healing to Wellness Courts'. Below the banner is a navigation bar with links: 'Home | About Us | Wellness Court Resources | Publications | Federal Funding Agencies'. The main content area is titled 'Wisconsin Drug Court Conference' and includes details about the event (Monday March 21 - 23, 2016) and a 'More' link. To the right of the event details is a 'WACCP' logo. Below the event details are several buttons: 'Request Technical Assistance', 'Funding Announcements', 'News and Announcements', 'Drug Court T/TA Calendar', and 'Prior Event Resources'. At the bottom, there is a 'Resources & Tools' section with links to 'Wellness Court Resources', 'Tribal Key Components', 'Forms & Policies', 'Drug Court Research', and 'State Resources'. A 'Search by Tribe' section includes a search bar and a map of the United States with state abbreviations. Below the map are three buttons: 'Indian Nations', 'Tribal Justice Systems', and 'Tribal Wellness Courts'.

BJA Adult Drug Court Grant

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- ▶ Implementation
- ▶ Enhancement

Purpose – Develop drug courts that effectively integrate

- ▶ evidenced-based substance abuse treatment,
- ▶ mandatory drug testing,
- ▶ sanctions and incentives, and
- ▶ transitional services

in a judicially supervised court setting with jurisdiction over substance-abusing offenders.

Due February 28, 2017

What's a Drug Court?

- ▶ Court program, managed by a
- ▶ multidisciplinary team that responds to the
- ▶ offenses and treatment needs of participants who have
- ▶ a substance use disorder.

Amount and Length of Awards

- ▶ **Implementation grant** maximum of **\$400,000** for **36 months**
- ▶ **Enhancement grant** maximum of **\$400,000 for 36 months**
operational at least one year
- ▶ Expect up to 40 awards

Tribal Awards

- ▶ **Tribal Grants:** In recent years, BJA has funded a small number of tribes

Fiscal Year	Number of Tribal Awards
FY 2012	1
FY 2013	3
FY 2014	1
FY 2015	6
FY 2016	2

NADCP Adult Drug Court Standards

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▶ nadcp.org/standards

ADULT DRUG COURT BEST PRACTICE STANDARDS

VOLUME I



NATIONAL ASSOCIATION OF DRUG COURT PROFESSIONALS
ALEXANDRIA, VIRGINIA

ADULT DRUG COURT BEST PRACTICE STANDARDS

VOLUME II

NATIONAL ASSOCIATION OF DRUG COURT PROFESSIONALS
ALEXANDRIA, VIRGINIA

NADCP Adult Drug Court Standards

- ▶ In application, must note which of the Standards will be addressed.
- ▶ Must cite to those page #s on your Abstract.

Webinar: Tribal 10 Key Components and Drug Court Standards

February 3, 2017

www.wellnesscourts.org/webinars.cfm

Implementation and Enhancement

Implementation

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- ▶ Already substantially planned; ready to implement
- ▶ Can use funding for:
 - ▶ Court operations and services
 - ▶ Participant supervision, management, and services
 - ▶ Recovery support services, including
 - ▶ Education
 - ▶ Civil Legal Assistance
 - ▶ Job training and placement
 - ▶ Housing placement assistance
 - ▶ Primary and mental health care
 - ▶ Childcare, other support services

Enhancement

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- ▶ Fully operational (at least 1 year) adult drug court.
- ▶ Can use funding for:
 - ▶ Incorporate NADCP Standards
 - ▶ **Scale up** capacity
 - ▶ Enhance court operations, evaluations, data collection
 - ▶ Expand case management (drug testing, case management, and community supervision)
 - ▶ Expand services based on needs assessments
 - ▶ E.g. enhance treatment services, health care coverage, education, vocational training, housing, and family support
- ▶ ****Must demonstrate the arrestee population will support the expected capacity**

25% Match Requirement

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- ▶ E.g: 25% of \$400,000 budget = \$100,000 match
For a total budget of \$500,000
- ▶ Can be cash, in-kind services, or a combination.
- ▶ There is NO specific amount of cash that must be provided.
- ▶ Match is restricted to the same uses of funds as allowed by federal funds.
- ▶ DO NOT overmatch the 25%; you are obligated to provide the amount that you state in your application.

Medically-Assisted Treatment

- ▶ Cannot deny any participant b/c they use Medication-Assisted Treatment (MAT)
- ▶ MAT program must meet certain conditions:
 - ▶ The client is receiving those medications as part of treatment for a diagnosed substance use disorder
 - ▶ A licensed clinician has examined the client and determined that medication is appropriate
 - ▶ The medication was appropriately authorized through prescription by a licensed prescriber

Violent Offender Prohibition

- ▶ May not use grant funding to serve violent offenders, as defined by 42 U.S.C. 3792u-2.
 - ▶ *Note:* Consider tribal court jurisdiction.
 - ▶ Consider CTAS Purpose Area 3
- ▶ Exception: Veterans Treatment Courts

Required Team Members

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- ▶ Judge
- ▶ Prosecutor
- ▶ Defense Counselor
- ▶ Treatment Provider
- ▶ Researcher/Evaluator/Data Management
- ▶ Community Supervision
- ▶ Coordinator

The Application Components

BJA Drug Court RFP: Minimum Required Documents

1. **Project Abstract – 1 page** (list of required components on pg. 16-17)
2. **Program Narrative – 20 pages**
3. **Time/Task Plan – outline goals and objectives**
4. **Budget Detail Worksheet and Budget Narrative**
 - ▶ Sample Budget Detail Worksheet:
<https://ojp.gov/funding/Apply/Resources/BudgetDetailWorksheet.pdf>

Project Abstract

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- ▶ 11 Bullets to Address:
- ▶ Describe Court:
 - ▶ Implementation or Enhancement
 - ▶ Urban or Rural
 - ▶ Pre- or Post-adjudication
 - ▶ Tribal Healing to Wellness Court
- ▶ Name, location, and address of Court
Name and contact info of Judge
Date began operations

Project Abstract Cont.

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- ▶ Amount of requested funding
- ▶ Current maximum participant capacity *and* potential increase in capacity
- ▶ Total # expected participants to receive services over the life of the grant (describe admission protocol)

Project Abstract Cont.

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- ▶ Target population, and how population mirrors arrestee population (including risk/need and MAT)
- ▶ Note *which* NADCP Standards will be addressed (with page numbers)
- ▶ Describe data collection mechanism (including tracking participants one year post-program participation)

Project Abstract Cont.

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- ▶ Empowerment Zone or Renewal Community?
- ▶ Leveraging other federal funding?
- ▶ Prior Drug Court grant or Drug Court Planning Initiative training?
- ▶ Template:
<https://ojp.gov/funding/Apply/Resources/ProjectAbstractTemplate.pdf>

Project Abstract



Part 1: Please identify the applicant point of contact (POC)

OMB No. 1121-0329
Approval Expires 07/31/2016

Applicant POC	
Organization Name	
POC Name	
Phone Number	
Email Address	
Mailing Address	

Part 2: Please identify the application

Application Information	
Solicitation Name	
Project Title	
Proposed Start Date	
Proposed End Date	
Funding Amount Requested	

Part 3: Please identify the project location and applicant type

Project Location and Applicant Type	
Project Location (City, State)	
Applicant Type (Tribal Nation, State, County, City, Nonprofit, Other)	



Program Narrative

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- 1. Statement of the Problem (20% Implementation ~ 25% Enhancement)**
 1. Attach Policies and Procedures Manual
- 2. Project Design and Implementation (40% I & E)**
- 3. Capabilities and Competencies (20% I & E)**
- 4. Data Collection for Performance Measures (15% I ~ 10% E)**
- 5. Budget Detail Worksheet and Narrative (5%)**

Statement of the Problem: Implementation

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- ▶ Nature and scope of the substance use disorder problem (stats)
- ▶ Problems with current response
- ▶ Target Population
- ▶ Capacity Goal

Statement of the Problem: Enhancement: Describe Current Operations

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- ▶ Referral, screening, and assessment process
- ▶ Eligibility requirements
- ▶ Target population
- ▶ Current capacity
- ▶ Length and phases of program
- ▶ Case management process
- ▶ Community supervision
- ▶ Recovery support services
- ▶ Judicial supervision
- ▶ Process for random drug testing
- ▶ Incentives and sanctions
- ▶ Graduation requirements
- ▶ Restitution costs and fees

Statement of the Problem: Enhancement

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- ▶ In addition to describing court, include:
 - ▶ How court will target high risk/high need
 - ▶ Identify treatment
 - ▶ How interventions were selected (evidence-based?)

Project Design

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- ▶ Must demonstrate **prompt entrance** into the drug court program.
 - ▶ No initial periods of incarceration (unless statutorily mandated)
- ▶ No fees that would prevent graduation
- ▶ Must permit access to MAT
- ▶ Must be aware of racial disparities

Project Design: Implementation: Describe the Drug Court

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- ▶ Screening, and assessment process
- ▶ Eligibility requirements
- ▶ Pre- or Post-Plea
- ▶ Length and phases of program
- ▶ Case management process
- ▶ Community supervision
- ▶ Evidence-based Treatment
- ▶ Recovery support services
- ▶ Judicial supervision
- ▶ Process for random drug testing
- ▶ Incentives and sanctions (relapse is a part of recovery)
- ▶ Graduation requirements
- ▶ Restitution costs and fees

Project Design: Enhancement

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- ▶ Can use funding for:
 - ▶ Incorporate NADCP Standards
 - ▶ **Scale up** capacity
 - ▶ Enhance court operations, evaluations, data collection
 - ▶ Expand case management (drug testing, case management, and community supervision)
 - ▶ Expand services based on needs assessments
 - ▶ E.g. enhance treatment services, health care coverage, education, vocational training, housing, and family support
- ▶ Identify which one
- ▶ Also describe:

Project Design: Enhancement

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- ▶ Drug testing process
- ▶ Frequency of judicial status hearings
- ▶ Perception of procedural fairness
- ▶ Evidence-base for treatment interventions
- ▶ Note the NADCP Standards (throughout)
- ▶ Target high risk/high need (assessment tool)
- ▶ Early intervention
- ▶ Target capacity #

Capabilities and Competencies: Implementation

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- ▶ BJA Drug Court Planning Initiative?
- ▶ Identify each team member and their role
- ▶ Describe communication and coordination strategies
- ▶ Indicate whether team includes: law enforcement and probation
- ▶ Describe treatment

Capabilities and Competencies: Enhancement

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- ▶ Identify other critical personnel
- ▶ Organizational capabilities
- ▶ Proposed treatment partners

Data Collection

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- ▶ Ability to collect and analyze client-level data:
 - ▶ Demographic
 - ▶ Performance
 - ▶ Outcome
- ▶ Ability to conduct regular program assessments

Data Collection

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- ▶ Performance management and evaluation plan
- ▶ Aftercare
- ▶ Sustainability

Performance Measures

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- ▶ Awardees required to submit quarterly reports at www.bjaperformancetools.org.
- ▶ Performance Measure Tools:
 - ▶ Implementation:
www.bjaperformancetools.org/help/BJADrugCourtImplementationIndicatorNew.pdf
 - ▶ Enhancement:
www.bjaperformancetools.org/help/BJADrugCourtENHANCEMENTNew.pdf

Performance Measure Tool

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GENERAL AWARD INFORMATION

1. Was there **grant activity** in the reporting period? *Grant activity is defined as any proposed activity in the BJA-approved grant application that is implemented or executed with BJA program funds.*
 - A. Yes _____
 - B. No (**Please explain below**)

2. Which one of the following type of areas best describes where your Drug Court program is located? (Please select only one area type.)
 - A. Urban (a large city with 50,000 or more people) _____
 - B. Suburban (territory outside of a large city with a population of 2,500 to 50,000 people or more) _____
 - C. Rural (territory that encompasses all people and housing not included within a suburban, urban, or tribal area) _____
 - D. Tribal (territory that contains a concentration of people who identify with a federally recognized American Indian tribe) _____

3. What is the **expected number of participants** the Drug Court program plans to serve with BJA program funds over the life of this award? *The value should correspond to what was reported in the grant application.*
 - A. Enter the expected number of participants _____

4. Is this the last time the grantee is reporting in the PMT before **closing out** this award? *If "yes," the grantee must complete the Court and Criminal Involvement section. This question will appear and go into effect starting with the January to March 2013 reporting period.*
 - A. Yes _____
 - B. No _____

Performance Measure Tool Categories

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- ▶ General Award Information
- ▶ Program Characteristics
- ▶ Program-Level Measures
 - ▶ Amount of Services Added
 - ▶ Amount of Services Delivered
- ▶ Participant Level Measures
 - ▶ Screening and Program Intake
 - ▶ Risk Assessment
 - ▶ Number of Drug Court Participants Receiving Services
 - ▶ Program Completion and Judicial Interaction
 - ▶ Alcohol and Substance Involvement
- ▶ Court and Criminal Involvement
- ▶ Narrative Questions

Performance Measures

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Objective	Performance Measure	Data Grantees Provide
Improve, enhance, and/or expand drug court services to reduce substance use and recidivism of drug court participants.	Percentage of participants admitted to the program	During this reporting period: A. Number of drug court participants that were admitted B. Total number of eligible drug court participants
	Percentage of participants who successfully completed the program	A. Number of participants enrolled in the program B. Number of participants who successfully completed program requirements C. Total number of successful and unsuccessful completions

Objective	Performance Measure	Data Grantees Provide
<p>Improve, enhance, and/or expand drug court services to reduce substance use and recidivism of drug court participants.</p>	<p>Percentage of participants who tested positive for illegal substance</p>	<p>A. Number of drug court participants in the program for 90 days who tested positive for the presence of an illegal substance during this reporting period</p> <p>B. Number of drug court participants in the program for 90 days who were tested for the presence of illegal drugs during this reporting period</p>
	<p>Percentage of program participants who recidivate while enrolled in the program</p> <p>Percentage of arrest</p> <p>Percentage of program participants who recidivate within one year after completion of the program</p>	<p>A. Number of drug court participants</p> <p>B. Number of drug court participants who recidivate while enrolled in the program</p> <p>C. Number of drug court participants who were arrested for drug offenses</p> <p>D. Number of drug court participants who were arrested for non-drug offenses</p> <p>E. Number of drug court participants who were arrested for non-drug and drug offense one year after program completions</p>

Other Attachments

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- ▶ Time Task Plan
 - ▶ Outline Goals and Objectives
 - ▶ Summarize major activities, responsible agencies, and expected completion dates
 - ▶ MUST include the expected number of participants to be served each quarter
 - ▶ Performance Measurement

Other Attachments Cont.

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- ▶ MOU signed by all team members
 - ▶ Judge, Prosecutor, Defense Counselor, Treatment Provider, Data Specialist, Community Supervision, Coordinator
- ▶ Letter from State Drug Court Coordinator
- ▶ Policies and Procedures Manual
- ▶ Tribal Authorizing Resolution

BJA Drug Court RFP: What an Application Should Include Cont.

Other Documents:

- ▶ Application for Federal Assistance SF-424
- ▶ Indirect Cost Rate Agreement (if applicable)
- ▶ Disclosure of Lobbying Activities
- ▶ Application Disclosure or Pending Applications
- ▶ Research and Evaluation Independence and Integrity
- ▶ Accounting System and Financial Capability Questionnaire

BJA Adult Drug Court RFPs *PROs and CONs*

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Pros	Cons
<ul style="list-style-type: none">• Drug court specific RFP/funding source	<ul style="list-style-type: none">• Not tribal specific RFP
<ul style="list-style-type: none">• Specific reference to Tribal Healing to Wellness Courts	<ul style="list-style-type: none">• Tribes have to compete with state drug courts
<ul style="list-style-type: none">• Peer reviewers will have substantial drug court specific knowledge	<ul style="list-style-type: none">• No guarantee that any Tribal Healing to Wellness Courts will be funded under these RFPs
<ul style="list-style-type: none">• In recent years, at least 2-3 tribes per year have received funding under the general BJA Adult Drug Court RFP	<ul style="list-style-type: none">• Violent Offender Prohibition
<ul style="list-style-type: none">• More grant awards under general BJA Adult Drug Court RFP than under any other drug court specific RFP (such as OJJDP and SAHMSA RFPs)	<ul style="list-style-type: none">• 25% match requirement

Resources: www.ndcrc.org

The screenshot shows the homepage of the National Drug Court Resource Center. At the top left is the NDCRC logo with the text "National Drug Court Resource Center" and the tagline "Your virtual source for everything Drug Court". To the right are social media icons and a "Join Us" button, along with the NDCI logo and text "NDCI NATIONAL DRUG COURT INSTITUTE" and "NDCI is a professional services branch of the NADCP". A navigation bar includes links for Home, Training & Technical Assistance, Library, FAQs, Calendar, Law, Blog, and a Login button. The main content area features a large image of a meeting and three featured items: "2013 BJA Grant Solicitation", "Tribal Wellness Court Funding Webinars", and "OJJDP Family Drug Court Solicitation". Below this is a search bar labeled "Explore NDCRC" and a magnifying glass icon. Three content boxes are visible: "Tune in on Tuesdays" for a BJA Grant Solicitation Webinar, "Join a Discussion" for sharing experience with BJA/CSAT, and "Trending Topics" listing various court-related terms. The footer contains the BJA logo, links for Training and Technical Assistance, State Drug Court Coordinators, Resources (Sample Forms, Find a Drug Court, Federal Partners, Case Law), and a Notice of Federal Funding and Federal Disclaimer with contact information.

National Drug Court Resource Center
Your virtual source for everything Drug Court

NDCI
NATIONAL DRUG COURT INSTITUTE
NDCI is a professional services branch of the NADCP

Home Training & Technical Assistance Library FAQs Calendar Law Blog Login

2013 BJA Grant Solicitation

Tribal Wellness Court Funding Webinars

OJJDP Family Drug Court Solicitation

Explore NDCRC

Tune in on Tuesdays
BJA Grant Solicitation Webinar
Watch this webinar recording!

Join a Discussion
Share your experience with the BJA/CSAT Joint Adult Drug Court Solicitation

Trending Topics
co-during drug court from
co-occurring drug court
eligible
other useful forms
people drug court evaluation substance attachment
process
probation assistance attachment
california

BJA
Training and Technical Assistance
• Partners

State Drug Court Coordinators
• State Lists

Resources
• Sample Forms
• Find a Drug Court
• Federal Partners
• Case Law

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1029 North Royal Street, Suite 201,
Alexandria, Virginia 22314
Tel: 703-575-9400

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Additional Evidenced-Based Program Principles

- ▶ Quality Improvement for Drug Courts Evidence-Based Practices:
www.ndci.org/sites/default/files/ndci/Mono9_QualityImprovement.pdf
- ▶ SAMHSA's National Registry of Evidence-Based Programs and Practices, an online registry of mental health and substance abuse interventions:
www.nrepp.samhsa.gov/LearnLanding.aspx
- ▶ NIJ's Multi-site Adult Drug Court Evaluation:
www.nij.gov/topics/courts/drug-courts/Pages/madce.aspx#results

Common Mistakes

- ▶ Not responding to all of the requested information
- ▶ Failed to draw connection between statement of the problem and the program design
- ▶ Not providing the requested information in relevant section where peer reviewers could easily locate it
- ▶ Failed to draw the connection between the proposed budget and the programmatic activities
- ▶ Inconsistencies within proposal – different writers by section
- ▶ Did not provide specific measurable targets for performance measures

TIPS

1. Check all of the resources linked in the RFP
2. Use scoring criteria as a general guide for how many pages to devote to each section
3. Don't wait until the deadline to apply
4. Follow all format directions and use all of the allotted pages.
5. Go back and review the budget after completing the narrative.
6. Focus on attachments (*Time Task Plan!*)
7. PDF everything!

If unsuccessful

- ▶ Always ask Agency to provide comments
- ▶ Keep prior proposals and comments (*although different review panel each time*)
- ▶ Ask Agency what other services can be provided such as:
 - ▶ Training and Technical Assistance (T/TA)
 - ▶ Capacity Building
 - ▶ Scholarships for Conferences/Trainings
- ▶ Ask Agency for copies of successful applications
- ▶ Serve as peer reviewer

Lauren van Schilfgaarde

Tribal Law Specialist

Tribal Law and Policy Institute

wellness@tlpi.org

(323) 650-5467

Questions?

TIM JEFFRIES, BUREAU OF JUSTICE ASSISTANCE

MARK KLINE, BUREAU OF JUSTICE ASSISTANCE